



PATENT APPLICATION

Docket No. 34013-48PT

D-99018

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of: Cappiello et al.

Serial No.: 09/724,770

Filed: 11/28/00

For: **ATHERMALIZATION AND PRESSURE DESENSITIZATION OF
DIFFRACTION GRATING BASED WDM DEVICES**

BOX: MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents, Washington, D.C. 20231

on

Signature

Pamela K. Kerr

Dear Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts mailed by the Application Branch on March 27, 2001, please find enclosed: Two Declarations and Powers of Attorney signed by the inventors..

A check in the amount of \$420 is enclosed for the surcharge as set forth in 37 CFR 1.16(e).



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20503
WWW.USPTO.GOV

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/724,770	11/28/2000	Robert H. Dueck	34013-00048PT

CONFIRMATION NO. 7641

FORMALITIES LETTER



OC000000005907262

JENKENS & GILCHRIST
3200 Fountain Place
1445 Ross Avenue
Dallas, TX 75202-2799

Date Mailed: 03/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 420.

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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BOX: MISSING PARTS
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on

Signature

4-20-01
Pamela K. Kerr
Pamela K. Kerr

Re: Applicant(s): Cappiello et al.
Serial No.: 09/724,770
Filed: 11/28/00
For: ATHERMALIZATION AND PRESSURE DESENSITIZATION
OF DIFFRACTION GRATING BASED WDM DEVICES
Docket No.: 34013-48PT

Dear Sir:

Transmitted for filing with the Patent and Trademark Office are the following documents for the above-referenced patent application:

1. Response to Notice of Missing Parts of Application
2. Copy of Notice of Missing Parts of Application
3. Oath or Declaration (signed)
4. Check in the amount of \$420 for missing parts surcharge and/or other fees
5. Acknowledgment Postcard

Please address all communications related to this to:

Gerald T. Welch
Jenkins & Gilchrist, P.C.
1445 Ross Avenue, Suite 3200
Dallas, Texas 75202-2799

In the event there is an under or over payment, please debit or credit our Deposit Account #10-0447.

Respectfully submitted,

Joshua A. Griswold
Joshua A. Griswold
Registration No. 46,310



PATENT APPLICATION

Docket No. 34013-48PT

D-99018

It is respectfully submitted that no additional parts are required to be filed with regard to the above-referenced application, and the application should therefore be processed accordingly.

Respectfully submitted,

JENKENS & GILCHRIST,
A Professional Corporation

A handwritten signature in cursive script, reading "Joshua A. Griswold", written over a horizontal line.

Joshua A. Griswold
Registration No. 46,310

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